**INSIDER INSIGHTS** 

## Daily Insider Ratings Report: Mar 13, 2023

InsiderInsights Ratings of Companies with Open-Market Form 4 Purchases & Sales Filed at the SEC on the date above.

Sorted by: Insider Rating, then Total Transaction Value.

See last page for the Key to Ratings, Titles & Transaction Type codes.

Generated with Special Screens tool at www.InsiderInsights.com, New York, NY (212) 784-6860.

## **Ratings of Latest Insider Purchases**

						Total				Latest	Ave.		Mkt	10-Day
Inside	er	Rating			Trans	Purch	Shares			Trans	Purch	Recent	Сар	Ave Vol
Rating		Date	Company	Ticker	Type(s)*	Value		# Ins	siders - Title(s)*	Date	Price	Price	(mm)	(m)
000	+3	3/13/23	PacWest Banc	PACW	B,JB*	\$1,835,852	95,993	11	CB,CEO,COO,DIR,PR	3/10/23	\$19.12	\$26.68	2,549	1,394
000	+3	3/13/23	Metropolitan Bank	MCB	В	\$647,091	27,817	5	CEO,DIR,PR	3/13/23	\$23.26	\$54.74	577	84
000	+2	3/13/23	Glacier Banc	GBCI	В	\$197,836	5,078	2	CEO,CFO,DIR,PR,VP	3/13/23	\$38.96	\$44.80	4,665	436
000	+2	2/22/23	Farmers Natl Banc	FMNB	В	\$25,580	2,000	1	DIR	3/10/23	\$12.79	\$13.61	527	160
000	+1	3/8/23	Summit Therap	SMMT	JB*	\$24,703,396	23,527,044	1	CEO,DIR,PR	3/8/23	\$1.05	\$1.52	337	3,313
000	+1	3/13/23	Cullen/Frost Bankers	CFR	В	\$1,553,047	14,500	2	CB,CEO,DIR	3/13/23	\$107.11	\$126.54	7,804	417
000	+1	3/13/23	Vistra	VST	В	\$709,700	28,000	2	CEO,DIR,PR	3/10/23	\$25.35	\$26.20	10,002	6,232
000	+1	3/13/23	Great Elm	GEG	В	\$311,243	136,294	3	BO,DIR	3/10/23	\$2.28	\$2.28	69	21
000	+1	3/8/23	First Citizens Banc	FCNCA	JB*,B	\$308,812	9,430	2	BO,CB,CEO,CFO,DIR	3/9/23	\$32.75	\$679.62	9,413	95
000	+1	3/13/23	Paramount	PGRE	В	\$296,720	60,000	2	CB,CEO,DIR,PR	3/10/23	\$4.95	\$5.13	1,160	1,865
000	+1	3/10/23	QCR	QCRH	В	\$199,606	4,313	1	CFO,COO,PR	3/10/23	\$46.28	\$51.32	801	63
000	+1	3/9/23	Farmland Part	FPI	В	\$198,600	20,000	1	DIR	3/10/23	\$9.93	\$10.54	580	869
000	+1	3/13/23	UMB Finl	UMBF	В	\$159,547	2,900	2	DIR	3/13/23	\$55.02	\$85.79	3,892	162
000	+1	3/13/23	TFF Pharm	TFFP	В	\$154,568	178,000	3	CEO,DIR,O,PR	3/10/23	\$0.87	\$0.83	27	220
000	+1	3/7/23	Elanco Animal Health	ELAN	В	\$143,972	15,000	1	CEO,DIR,PR	3/10/23	\$9.60	\$10.64	5,139	6,240
000	+1	3/13/23	Air Transport Svs	ATSG	В	\$140,834	6,785	2	COO,DIR	3/13/23	\$20.76	\$21.87	1,557	945
000	+1	3/13/23	Summit Finl	SMMF	В	\$120,854	5,289	1	DIR	3/10/23	\$22.85	\$24.53	301	13
000	+1	3/13/23	Guaranty Banc	GNTY	В	\$116,220	4,000	1	DIR	3/10/23	\$29.06	\$30.38	349	21
000	+1	3/8/23	ZimVie	ZIMV	В	\$111,600	20,000	1	DIR	3/9/23	\$5.58	\$5.31	146	1,435
000	+1	3/13/23	Ponce Finl	PDLB	В	\$81,495	9,700	2	CB,CEO,DIR,PR	3/10/23	\$8.40	\$8.84	213	44
000	+1	3/13/23	Byline Banc	BY	В	\$65,533	2,890	2	DIR	3/10/23	\$22.68	\$23.97	872	87
000	+1	3/13/23	CTO RIty Growth	СТО	В	\$65,138	3,900	2	CEO,CFO,DIR,PR,TR,V	3/10/23	\$16.70	\$17.04	388	302
000	+1	3/13/23	Banc	TBBK	В	\$62,411	2,300	1	DIR	3/13/23	\$27.14	\$34.03	1,787	285
000	+1	2/3/23	TrustCo Bank NY	TRST	В	\$50,450	1,500	1	CFO,VP	3/13/23	\$33.63	\$36.20	665	65
000	+1	3/6/23	iHeartMedia	IHRT	В	\$50,017	10,000	1	CFO,FO,VP	3/10/23	\$5.00	\$5.64	706	1,629
000	+1	1/27/23	First Finl Bank	FFIN	В	\$28,756	850	1	DIR	3/10/23	\$33.83	\$35.24	4,815	379
000	+1	3/10/23	CVB Finl	CVBF	В	\$20,760	1,000	1	CCO,VP	3/13/23	\$20.76	\$23.05	3,051	584
000	+0.5	3/13/23	Blackstone Mort Tr	BXMT	В	\$942,970	50,000	1	DIR	3/13/23	\$18.86	\$20.16	3,439	2,375
	+0.5	3/13/23	Lakeland Finl	LKFN	В	\$646,759	10,000	1	DIR	3/10/23	\$64.68	\$68.04	1,700	85
000	+0.5	3/13/23	Apollo Medical	AMEH	OB	\$625,000	62,500	1	DIR	3/9/23	\$10.00	\$36.87	1,727	215
000	+0.5	3/13/23	Customers Banc	CUBI	В	\$499,950	45,450	1	CB,CEO,DIR	3/13/23	\$11.00	\$28.89	860	379
000	+0.5	3/13/23	First Savings Finl	FSFG	В	\$285,000	15,000	1	DIR	3/10/23	\$19.00	\$19.35	133	2
000	+0.5	3/13/23	Westlake Chemical LP	WLKP	В	\$220,200	10,000	1	VP	3/10/23	\$22.02	\$22.26	780	74
000	+0.5	3/13/23	Community Health Tr	CHCT	В	\$209,275	5,704	4	DIR	3/10/23	\$36.69	\$37.37	967	100
			Penns Woods Banc	PWOD	В	\$53,163	2,220			3/13/23	\$23.95	\$26.89	188	4
	+0.5	3/13/23	Ultralife	ULBI	В	\$40,895	10,100	1	BO,DIR	3/10/23	\$4.05	\$4.10	66	25
000	+0	3/13/23	East West Banc	EWBC	В	\$495,130	10,000	1	CFO	3/13/23	\$49.51	\$72.88	9,586	817
000	+0	3/13/23	TRACON Pharm	TCON	JB*	\$240,821	174,508	1	BO	3/9/23	\$1.38	\$1.38	30	51
000	+0	3/13/23	MGE Energy	MGEE	В	\$80,454	1,146	1	DIR	3/10/23	\$70.20	\$70.83	2,593	76
000	+0	3/13/23		ATEX	В	\$74,900	2,500	1	CEO,DIR,PR	3/9/23	\$29.96	\$29.26	566	170
000	+0	3/1/23	CommScope	COMM	В	\$67,495	10,000	1	DIR	3/10/23	\$6.75	\$7.26	1,554	3,373
000	+0		Sixth Street Sp Lending		В	\$63,425	3,500		0	3/10/23	\$18.12	\$19.01	1,502	636
000	+0		First Finl Ind	THFF	В	\$60,272	1,500	1	DIR	3/10/23	\$40.18	\$42.87	490	39
000	+0	3/13/23	William Penn Banc	WMPN	В	\$53,403	5,000	1	CEO,DIR,PR	3/13/23	\$10.68	\$11.94	167	19
000	+0	2/13/23	PennantPark Invat	PNNT	В	\$50,008	8,930	1	DIR	3/9/23	\$5.60	\$5.69	367	242

\* See last page for the Key to Ratings, Titles & Transaction Type codes.

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# **Daily Insider Ratings Report: Mar 13, 2023**

# **Ratings of Latest Insider Purchases**

						Total				Latest	Ave.		Mkt	10-Day
Inside	er	Rating			Trans	Purch	Shares			Trans	Purch	Recent	Сар	Ave Vol
Rating	J*	Date	Company	Ticker	Type(s)*	Value	Bought	# Ins	siders - Title(s)*	Date	Price	Price	(mm)	(m)
000	+0	3/13/23	Trinity Cap	TRIN	В	\$49,987	3,646	1	CIO,DIR,PR	3/9/23	\$13.71	\$14.09	476	237
000	+0	3/13/23	Five Star Banc	FSBC	В	\$47,536	1,906	1	DIR	3/10/23	\$24.94	\$26.40	439	20
000	+0	3/13/23	Princeton Banc	BPRN	В	\$43,777	1,296	1	DIR	3/10/23	\$33.78	\$33.80	206	5
000	+0	3/13/23	CrossFirst Bank	CFB	В	\$42,804	3,600	1	DIR	3/10/23	\$11.89	\$13.11	597	106
000	+0	3/10/23	Southern Missouri Ban	IC SMBC	В	\$40,760	1,000	1	CB,CEO,DIR	3/10/23	\$40.76	\$44.42	479	43
000	+0	2/1/23	Community Tr Banc	CTBI	В	\$40,059	1,000	1	DIR	3/13/23	\$40.06	\$41.98	724	58
000	+0	3/13/23	Kearny Finl	KRNY	В	\$40,031	4,500	1	DIR	3/10/23	\$8.90	\$9.51	635	196
000	+0	3/13/23	Colony Bankcorp	CBAN	В	\$39,805	3,500	2	CEO,DIR,PR	3/13/23	\$11.37	\$12.06	208	34
000	+0	2/17/23	United Security Banc	UBFO	В	\$36,284	5,000	1	CEO,DIR	3/9/23	\$7.26	\$7.53	124	39
000	+0	12/16/22	CSP	CSPI	В	\$34,618	2,939	1	во	3/13/23	\$11.78	\$11.80	55	6
000	+0	2/2/23	Southside Banc	SBSI	OB	\$33,290	1,455	1	0	3/13/23	\$22.88	\$36.56	1,095	88
000	+0	3/13/23	Peapack-Gladstone Fi	n PGC	В	\$32,026	1,000	1	CEO,DIR,PR	3/13/23	\$32.03	\$36.77	615	56
000	+0	3/13/23	Oxford Lane Cap	OXLC	В	\$31,073	6,000	1	DIR	3/10/23	\$5.18	\$5.63	927	969
000	+0	3/13/23	Chefs' Warehouse	CHEF	В	\$30,400	1,000	1	DIR	3/13/23	\$30.40	\$33.82	1,273	440
000	+0	3/13/23	Ameris Banc	ABCB	В	\$27,604	670	1	DIR	3/10/23	\$41.20	\$44.92	3,000	300
000	+0	3/13/23	Velocity Finl	VEL	В	\$24,810	3,000	1	DIR	3/13/23	\$8.27	\$9.00	284	23
000	+0	3/13/23	Northrim Banc	NRIM	В	\$23,750	500	1	CFO,VP	3/13/23	\$47.50	\$50.93	285	23
000	+0	3/13/23	Bank7	BSVN	В	\$23,355	1,000	1	BO,SO	3/13/23	\$23.36	\$28.38	256	9
000	0	2/22/23	Newell Brands	NWL	В	\$990,783	76,925	1	CFO	3/10/23	\$12.88	\$13.45	5,445	5,807
000	0	3/13/23	AeroVironment	AVAV	OE*	\$681,750	25,000	1	DIR	3/9/23	\$27.27	\$93.70	2,355	248
000	0	3/13/23	Sylvamo	SLVM	В	\$491,700	10,000	1	DIR	3/10/23	\$49.17	\$50.32	2,103	404
000	0	3/13/23	Viridian Therap	VRDN	В	\$160,324	5,500	1	CEO,DIR	3/13/23	\$29.15	\$29.47	1,250	483
000	0	3/6/23	Kaleyra	KLR	В	\$136,208	180,427	1	DIR	3/8/23	\$0.75	\$2.43	109	60
000	0	3/13/23	Equity Banc	EQBK	В	\$124,960	4,500	2	CFO,DIR	3/10/23	\$27.77	\$29.64	449	66
000	0	3/13/23	Bridgewater Banc	BWB	OB	\$123,675	37,500	2	CEO,CFO,DIR,PR	3/9/23	\$3.30	\$14.29	373	69
000	0	3/13/23	Peoples Banc	PEBO	В	\$59,913	2,100	1	DIR	3/10/23	\$28.53	\$30.10	826	117
000	0	3/10/23	Perficient	PRFT	В	\$52,000	750	1	DIR	3/10/23	\$69.33	\$72.83	2,546	296
000	0	3/13/23	Axos Finl	AX	В	\$49,896	1,320	1	DIR	3/10/23	\$37.80	\$45.69	2,545	252
000	0	3/6/23	Heartland Finl USA	HTLF	JB*	\$42,590	1,707	1	DIR	3/10/23	\$24.95	\$47.90	1,932	155
000	0	2/2/23	Midland States Banc	MSBI	JB*,B	\$32,139	1,321	2	DIR,VP	3/13/23	\$24.33	\$25.39	534	69
000	0		Coastal Finl	CCB	В	\$32,000	1,000	1	DIR	3/13/23	\$32.00	\$44.35	560	44
					-	+, <b>v</b>	.,500				+	÷ · · · • •		

\* See last page for the Key to Ratings, Titles & Transaction Type codes.

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# **PINSIDERINSIGHTS** Daily Insider Ratings Report: Mar 13, 2023

# **Ratings of Latest Insider Sales**

					Total				Latest	A		ML+	10 Day
Insider	Rating			Trans	Total Sale	Shares			Latest Trans	Ave. Sale	Recent	Mkt Cap	10-Day Ave Vol
Rating*	Date	Company	Ticker	Type(s)*	Value		# Ins	siders - Title(s)*	Date	Price	Price	(mm)	(m)
<b>0</b> 00 -1		Clearwater Analytics	CWAN	OS	\$377,000,000	43,611,312		BO	3/13/23	\$8.64	\$16.11	4,446	623
000 -1		Sweetgreen	SG	S	\$1,458,300	195,745	1	DIR	3/9/23	\$7.45	\$8.01	853	1,946
●OO -1		X4 Pharm	XFOR	AS	\$85,830		2		3/10/23	\$0.84	\$0.98	112	520
●OO -1		Amer Well	AMWL	AS	\$47,178	20,000	1	CFO	3/10/23	\$2.36	\$2.62	718	1,761
000 -0	3/9/23	Pepsico	PEP	S	\$12,935,148	75,000	1	DIR	3/9/23	\$172.47	\$173.11	239,442	3,837
0-00	2/28/23	FTI Consulting	FCN	S	\$10,069,087	54,627	2	CEO,DIR,GC,PR	3/10/23	\$184.32	\$180.68	6,146	232
000 -0	1/25/23	Dover	DOV	OS	\$8,671,639	57,009	1	BO,CFO,VP	3/9/23	\$152.11	\$152.47	21,252	863
0- 000	12/14/22	Snowflake	SNOW	OS,AS	\$8,464,108	60,373	2	CB,CEO,DIR,PR	3/9/23	\$140.20	\$142.65	44,492	7,432
0-00	2/27/23	Cadence Design Sys	CDNS	OS	\$7,447,529	37,260	1	CFO,VP	3/9/23	\$199.88	\$199.30	54,632	1,462
0-00	2/22/23	Intra-Cellular Therapie	s ITCI	OS,AS	\$5,573,551	127,284	5	CB,CEO,CFO,DIR,FO	3/13/23	\$43.79	\$46.49	4,294	956
0-00	3/13/23	Perception Cap II	PCCT	S	\$5,049,705	478,645	1	BO	3/9/23	\$10.55	\$10.51	87	1
0-000	3/13/23	Intl Money Express	IMXI	AS	\$3,700,469	150,000	1	CB,CEO,DIR,PR	3/10/23	\$24.67	\$25.02	990	449
0-000	2/23/23	Tyler Tech	TYL	S	\$3,503,315	10,839	2	CB,CFO,DIR,VP	3/10/23	\$323.21	\$326.70	13,566	293
000 -0	2/21/23	Brinks	BCO	S	\$2,974,602	45,000	1	VP	3/10/23	\$66.10	\$67.83	3,147	184
0-000	2/6/23	Atlassian	TEAM	AS	\$2,756,674	17,228	2	BO,CEO,DIR,F	3/10/23	\$160.01	\$177.90	44,718	2,464
000 -0	1/26/23	Boyd Gaming	BYD	S	\$2,550,120	39,525	1	BO,CB,DIR	3/9/23	\$64.52	\$64.87	6,539	896
0-000	2/15/23	Motorola Solutions	MSI	OS	\$2,452,389	9,082	1	VP	3/10/23	\$270.03	\$267.59	45,384	616
000 -0	2/14/23	Lear	LEA	AS	\$1,559,510	11,260	1	CEO,DIR,PR	3/9/23	\$138.50	\$139.37	8,198	539
0-00	3/13/23	Evertec	EVTC	S	\$1,426,091	40,201	1	CEO,DIR,PR	3/10/23	\$35.47	\$36.08	2,336	386
0-000	3/13/23	Visteon	VC	S,OS	\$1,268,634	7,648	2	DIR,VP	3/10/23	\$165.88	\$171.28	4,713	342
0-000	3/13/23	Elastic NV	ESTC	S	\$1,181,423	20,271	4	CEO,CFO,COO,LO,O	3/9/23	\$58.28	\$60.01	5,576	1,384
0-00	3/9/23	Target Hospitality	ТН	OS	\$1,080,669	64,348	1	CFO,VP	3/10/23	\$16.79	\$16.21	1,595	517
0-000	2/3/23	Morningstar	MORN	AS	\$1,071,211	5,162	1	BO,CB,DIR	3/10/23	\$207.52	\$207.23	8,953	153
0-000	3/13/23	Elevance Health	ELV	S	\$1,069,577	2,314	1	PR,VP	3/9/23	\$462.22	\$465.19	110,610	1,141
0-000	1/25/23	Commerce Banc	CBSH	OS	\$1,013,505	16,437	1	DIR	3/9/23	\$61.66	\$63.79	7,701	399
0-000	3/13/23	KBR	KBR	S	\$931,777	17,000	1	DIR	3/9/23	\$54.81	\$54.61	7,478	744
0-000	3/13/23	Iron Mtn (Del	IRM	AS	\$619,164	11,760	1	CEO,DIR,PR	3/9/23	\$52.65	\$53.84	15,997	1,617
0-000	3/2/23	Privia Health	PRVA	OS	\$576,478	20,371	1	COO,PR	3/10/23	\$28.30	\$28.34	3,238	965
0-000	2/22/23	Synaptics	SYNA	S	\$576,402	5,000	1	0	3/9/23	\$115.28	\$113.52	4,432	431
0-000	3/8/23	CRA IntlInc	CRAI	AS	\$567,551	5,000	1	CEO,DIR,PR	3/10/23	\$113.51	\$115.25	836	112
0-000	3/13/23	BJ's Wholesale Club	BJ	AS	\$544,149	7,059	1	COO,VP	3/9/23	\$77.09	\$74.31	10,501	1,285
0-000	3/3/23	Clean Harbors	CLH	S	\$529,285	3,810	1	CIO,VP	3/9/23	\$138.92	\$138.23	7,561	536
0-000	3/8/23	Hewlett Packard	HPE	S	\$512,902	34,088	1	VP	3/9/23	\$15.05	\$15.10	19,289	12,810
0-000	3/13/23	indie Semiconductor	INDI	AS	\$509,500	50,000	1	CEO,DIR	3/10/23	\$10.19	\$10.67	1,440	2,742
000 -0		Reata Pharm	RETA	OS	\$480,610	5,740		DIR	3/10/23	\$83.73	\$83.96	3,031	5,103
0-000	2/10/23	Dorian LPG	LPG	S	\$445,800	20,000	1	DIR	3/9/23	\$22.29	\$22.46	893	626
0-000		Comfort Sys USA	FIX	AS	\$429,090	3,000	1	DIR	3/10/23	\$143.03	\$148.65	5,244	356
000 -0	3/13/23	Sovos Brands	SOVO	AS	\$401,906	26,476	1	DIR	3/9/23	\$15.18	\$13.16	1,414	228
000 -0	3/9/23	Lincoln Educational Sv	/sLINC	S	\$394,682	65,000	1	CEO,DIR	3/13/23	\$6.07	\$6.30	162	58
000 -0		Duckhorn Portfolio	NAPA	AS	\$385,962	23,339	1	0	3/9/23	\$16.54	\$14.99	1,845	352
000 -0		GoDaddy	GDDY	S	\$379,025	5,000	1	CFO	3/9/23	\$75.81	\$76.13	11,706	860
000 -0		TechnipFMC plc	FTI	S	\$335,341	22,208			3/9/23	\$15.10	\$15.17	6,675	7,300
000 -0		Informatica	INFA	S	\$321,997		1		3/9/23	\$17.00	\$16.96	4,827	633
0-000	2/14/23	Eli Lilly and	LLY	AS	\$318,850	1,000	1	VP	3/10/23	\$318.85	\$312.54	303,380	2,891
000 -0		Oak Street Health	OSH	AS	\$292,281	8,250	1	LO	3/9/23	\$35.43	\$35.49	8,634	3,785
000 -0		Franklin Electric	FELE	S	\$259,274	2,800	1	PR,VP	3/10/23	\$92.60	\$93.97	4,316	138
000 -0	2/28/23	Vertex Energy	VTNR	S	\$251,928	25,000	1	DIR	3/6/23	\$10.08	\$9.76	727	4,136
000 -0		Kinsale Cap	KNSL	OS	\$228,090	750	1	COO,VP	3/10/23	\$304.12	\$313.29	7,127	154
	12/14/22	SkyWater Tech	SKYT	S	\$208,530	15,000	2	0	3/9/23	\$13.90	\$14.25	606	464
000 -0	3/13/23	ПТ	ITT	S	\$191,618	2,197	1	PR,VP	3/10/23	\$87.22	\$91.66	7,529	358

\* See last page for the Key to Ratings, Titles & Transaction Type codes.

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# **Daily Insider Ratings Report: Mar 13, 2023**

## Ratings of Latest Insider Sales (Continued)

la states	Detina			<b>T</b>	Total	Channe			Latest	Ave.	Desert	Mkt	10-Day
Insider Rating*	Rating Date	Company	Ticker	Trans Type(s)*	Sale Value	Shares Sold	# Ins	siders - Title(s)*	Trans Date	Sale Price	Recent Price	Cap (mm)	Ave Vol (m)
000 -0			XNCR	S	\$189,674	6,348	5	CEO,CFO,DIR,GC,PR	3/9/23	\$29.88	\$30.58	1,798	357
0-000		Chico's FAS	CHS	S	\$186,960	30,400	1	DIR	3/10/23	\$6.15	\$6.03	766	2,817
0-000		OSI Sys	OSIS	S	\$182,920	2,000	1	PR	3/10/23	\$91.46	\$94.64	1,600	94
0-00		Frontier	ULCC	S	\$173,334	14,723	1	CEO,DIR,PR	3/9/23	\$11.77	\$11.75	2,504	1,410
0-000		Alaska Air	ALK	S	\$170,017	3,500	1	DIR	3/9/23	\$48.58	\$49.21	6,205	1,293
0-00		RAPT Therap	RAPT	AS	\$148,100	5,000	1	0	3/7/23	\$29.62	\$29.98	1,010	428
0-00		Perella Weinberg Part	PWP	S	\$136,094	14,902	1	DIR	3/10/23	\$9.13	\$9.96	838	260
0-00		CryoPort	CYRX	S	\$129,561	6,433	3	CEO,CFO,DIR,O	3/13/23	\$20.14	\$22.81	1,091	384
000 -0		Salesforce	CRM	OS	\$127,495	725	1	CB,CEO,DIR	3/10/23	\$175.86	\$182.95	182,470	11,723
000 -0	2/27/23	DaVita	DVA	S	\$107,513	1,431	1	DIR	3/9/23	\$75.13	\$79.38	6,972	797
000 -0	3/1/23	Arrow Electr	ARW	S	\$106,080	884	1	CB,DIR	3/9/23	\$120.00	\$118.99	6,979	390
0-00	3/13/23	Accelerate Diagn	AXDX	S	\$102,654	218,413	2	CEO,CFO	3/8/23	\$0.47	\$0.47	46	436
000 -0	3/3/23	Cogent Comms	CCOI	S	\$97,878	1,590	2	DIR	3/13/23	\$61.56	\$61.48	2,982	341
0-0 00	3/13/23	Portland General Elec	POR	S	\$96,350	2,050	1	VP	3/9/23	\$47.00	\$47.02	4,223	646
000 -0	1/30/23	DR Horton	DHI	S	\$96,161	1,000	1	CT,VP	3/9/23	\$96.16	\$93.79	32,959	2,331
000 -0	2/24/23	Westrock Coffee	WEST	S	\$89,072	7,935	1	BO	3/10/23	\$11.23	\$11.08	847	155
000 -0	3/10/23	Willdan	WLDN	S	\$81,385	5,211	2	CB,CEO,DIR,PR	3/10/23	\$15.62	\$17.23	229	42
000 -0	2/14/23	CMS Energy	CMS	S	\$75,002	1,250	1	VP	3/13/23	\$60.00	\$59.76	17,636	1,720
000 -0	3/6/23	Boise Cascade	BCC	S	\$68,089	1,001	1	VP	3/9/23	\$68.02	\$67.01	2,681	335
000 -0	2/6/23	Zeta Global	ZETA	AS	\$64,440	5,837	1	DIR	3/8/23	\$11.04	\$10.92	2,233	1,957
000 -0	3/13/23	Xcel Energy	XEL	S	\$47,905	737	1	VP	3/9/23	\$65.00	\$64.40	35,834	2,659
000 -0	2/28/23	Stem	STEM	S	\$46,187	5,383	1	PR	3/9/23	\$8.58	\$8.35	1,247	3,183
000 -0	2/3/23	Energy Recovery	ERII	S	\$42,350	1,765	2	CFO,LO	3/9/23	\$23.99	\$23.77	1,327	423
000 -0	3/13/23	Theravance Biopharma	a TBPH	S	\$40,800	4,000	1	VP	3/9/23	\$10.20	\$10.14	649	643
000 -0	1/25/23	Legacy Housing	LEGH	S	\$38,371	1,909	1	BO	3/9/23	\$20.10	\$20.16	495	53
000 -0	2/1/23	loanDepot	LDI	AS	\$35,160	19,998	1	MD	3/10/23	\$1.76	\$1.89	615	471
000 -0	3/13/23	GeneDx	WGS	S	\$33,333	92,644	1	CEO	3/10/23	\$0.36	\$0.44	291	3,763
000 0	3/13/23	ThredUp	TDUP	S	\$1,444,217	596,784	2	BO,DIR	3/9/23	\$2.42	\$2.29	245	5,904
000 0	1/26/23	Alphatec	ATEC	AS	\$883,200	60,000	1	CEO,DIR	3/10/23	\$14.72	\$15.90	1,746	1,246
000 0	12/16/22	Integer	ITGR	OS	\$781,062	10,408	1	DIR	3/9/23	\$75.04	\$76.14	2,542	435
000 0	2/8/23	SoundHound AI	SOUN	S	\$681,705	275,162	6	BO,CEO,CFO,COO,VP	3/9/23	\$2.48	\$2.60	465	15,769
000 0	3/3/23	MiX Telematics	MIXT	JS*	\$412,036	1,300,000	1	BO	3/10/23	\$0.32	\$8.23	177	17
000 0	3/13/23	ACM Research	ACMR	OS	\$278,351	22,500	1	0	3/9/23	\$12.37	\$12.47	752	1,146
000 0	3/13/23	CECO Environmental	CECO	S	\$178,696	12,115	1	COO	3/10/23	\$14.75	\$15.28	532	617
000 0	3/6/23	Kaleyra	KLR	S	\$147,739	187,711	1	DIR	3/2/23	\$0.79	\$2.43	109	60
000 0	3/13/23	Sylvamo	SLVM	S	\$119,508	2,407	1	CAO,CT,VP	3/9/23	\$49.65	\$50.32	2,103	404
000 0	3/13/23	TriMas	TRS	S	\$118,720	4,000	1	CB,DIR	3/9/23	\$29.68	\$29.69	1,221	132
000 0	2/9/23	Northern Tr	NTRS	S	\$48,801	559	1	CT,VP	3/9/23	\$87.30	\$92.09	18,269	982

\* See last page for the Key to Ratings, Titles & Transaction Type codes.

### Daily Insider Ratings Report: Mar 13, 2023 **INSIDER INSIGHTS**

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### KEY FOR TITLE CODES:

A=Assistant, AI=Affiliated Investor, AO=Accounting Officer, BD=Business Development, BO=Beneficial Owner, CAO=Chief Accounting Officer, CB=Chairman, CCO=Chief Compliance Officer, CEO=Chief Executive Officer, CFO=Chief Financial Officer, CIO=Chief Information Officer, CO=Compliance Officer, COO=Chief Operating Officer, CT=Controller, CTO=Chief Technology Officer, DIR=Director, F=Founder, FO=Financial Officer, GC=General Counsel, HR=Human Resources, IO=Information Officer, IR=Investor Relations, LO=Legal Officer, MD=Managing Director, O=Officer, OO=Operating Officer, PR=President, PT=Partner, REL=Relative of insider, SEC=Secretary, SH=Shareholder, SO=Sales Officer, TO=Technology Officer, TR=Treasurer, TT=Trustee, VCB=Vice Chairman, VP=Vice President, X=Ex Officer or other title displayed.

#### KEY FOR TRANSACTION TYPE CODES:

B = Open-Market Insider Buy.

S = Open-Market Insider Sale.

+3

AB = Automatic Buy through the insider's 10b5-1 program.

- AS = Automatic Sale through the insider's 10b5-1 program
- JB\* = Supposed Open-Market Acquisition done outside the price range or trading volume on the day.
- JS\* = Supposed Open-Market Disposition done outside the price range or trading volume on the day.

OB = Option Exercise that was not sold at all.

OE\* = Option Exercise that was only partially sold.

OS\* = Partial Sale of Exercised Options.

OE = Option Exercise that was completely sold.

OS = Complete Sale of Exercised Options.

JB = Non Open-Market Acquisition (Transaction Price is often unrelated to market price on the day).

JS = Non Open-Market Disposition (Transaction Price often unrelated to market price on the day).

3 = Form 3 filing indicating new insider. New insiders must file a Form 3 whether or not they have any holdings in the company.

### KEY FOR INSIDERINSIGHTS COMPANY RATINGS: SIGNIFICANTLY BULLISH

### Interpreting our color-coded Ratings icons is straightforward: Green is Bullish; Red is Bearish.

Some Significantly Bullish and Bearish Ratings are clearly stronger than others. Reasons for a Significant Rating gar-**+**2 SIGNIFICANTLY BULLISH nering 2 or 3 colored icons instead of just one include: clusters of insiders acting similarly; insiders having very predic-tive track records (as per our Insider Statistics); large \$ value transactions, and trades resulting in large changes in 00 +1 SIGNIFICANTLY BULLISH holdings; transactions that represent reversals of opinion, and; many other proprietary metrics and behavioral factors. 000 +0.5 LEANING BULLISH Despite computing and relaying different strengths of Significant insider signals, however, we do not purport that a +3 000 +0 INSIGNIFICANTLY BULLISH or -3 Significance Rating is three times more likely to be correct, or is more likely to result in a larger stock move. Our back tests and experience indicate that the major alpha generation comes from the fact that a stock's insider 0 **CONFLICTING PURCHASES & SALES** activity has surpassed our calculated threshold of Significance. The strength of Significance is less important. -0 INSIGNIFICANTLY BEARISH It is further valid to consider stocks with Ratings that are merely Leaning Bullish or Bearish in your long and short 000 -0.5 LEANING BEARISH analysis, as these Ratings have also proved to generate alpha, though less than Significant Ratings. Ratings should also be interpreted in concert with a stock's price action. It is normal behavior for insiders to buy -1 SIGNIFICNATLY BEARISH when their stock has fallen in price, and sell when it rises sharply. So even an Insignificantly Bullish Rating on a -2 SIGNIFICNATLY BEARISH stock that has doubled, or Insignificantly Bearish Rating on a stock that has halved in price imparts intelligence. Our Ratings are considered "active" for 3 months, or until replaced by another Rating. -3 SIGNIFICNATLY BEARISH \_\_\_\_\_ +200 Purchases During Corporate Action. May occasionally relay arbitrage intelligence. +100 Purchases Related to IPO. Value of investment intelligence is uncertain when stock is unseasoned. -100 Sales Related to IPO. Value of investment intelligence is uncertain when stock is unseasoned. -200 Sales During Corporate Action. May occasionally relay arbitrage intelligence. NR Not Yet Rated There has not been a combination of insider activity and other factors to warrant a Rating.

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